

## UNITED STATES PATENT AND TRADEMARK OFFICE

Carentissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 2023 www.upubo.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATT	Y. DOCKET NO.	
10/031,841	Douglas Clark Fraser	KNI-004CPUS		
		INTERNATIONAL AP	TERNATIONAL APPLICATION NO.	
		PCT/EP00/06921		
Elizabeth A. Hanley		I.A. FILING DATE	PRIORITY DATE	
Lahive & Cockfield 28 State Street		07/20/2000	07/22/1999	

CONFIRMATION NO. 5745
371 FORMALITIES LETTER
\*OC000000009890045\*

Date Mailed: 04/28/2003

Boston, MA 02109

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Copy of the International Application filed on 01/22/2002
- Copy of the International Search Report filed on 01/22/2002
- Copy of IPE Report filed on 01/22/2002
- Preliminary Amendments filed on 01/22/2002
- Oath or Declaration filed on 01/22/2002
- Biochemical Sequence Listing filed on 01/22/2002
- Copy of references cited in ISR filed on 01/22/2002
- U.S. Basic National Fees filed on 01/22/2002
- Priority Documents filed on 01/22/2002

Applicant's response filed 01/22/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 03/29/2002 have not been completed.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."





- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

CHRISTINE S WASHINGTON

Telephone: (703) 305-3752

## PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.	
10/031,841	PCT/EP00/06921	KNI-004CPUS	

FORM PCT/DO/EO/916 (371 Formalities Notice)